

**DEVELOPMENT OF TUNA FISHERIES IN THE PACIFIC ACP  
COUNTRIES (DEVFISH)**

**Identification Number RPA/001/04**

**9.ACP.RPA.08**



**2008-2009 WORK PLAN  
AND  
COST ESTIMATE**

**1 JULY 2008 – 30 JUNE 2009**

**FINANCE: 9TH EDF EUROPEAN DEVELOPMENT FUND**

### List of ACRONYMS

ACP	African, Caribbean and Pacific
EC	European Commission
EDF	European Development Fund
EU	European Union
DEVFISH	Development of Tuna Fisheries in Pacific ACP Countries
FA	Financing Agreement
FFA	Forum Fisheries Agency
FFC	Forum Fisheries Commission
GA	Grant Agreement
PACP	Pacific – African, Caribbean and Pacific
PC	Project Coordinator
PS	Project Supervisor
PSC	Project Steering Committee
RAO	Regional Authorizing Officer
RIP EDF 9	Regional Indicative Programme 9 <sup>th</sup> European Development Fund
RSP	Regional Strategy Paper
SPC	Secretariat of the Pacific Community

## **INTRODUCTION**

The DEVFISH Project is now entering the final year of its four years duration. In the three years to date, project staff have visited all the 14 Pacific ACP states at least once (many several times) in connection with project activities; three sub-regional tuna industry meetings were held; base line information has been collected; a range of regional tuna industry studies have been completed; work on tuna development planning has been carried out in two countries; a range of in-country studies, training and technical assistance have been provided; and support has been given to a number of national fishing industry associations as well as the Pacific Islands Tuna Industry Association (PITIA).

In terms of administration, two project steering committee meetings were held at the margins of FFC61, Nadi in 2006 and FFC64, Wellington in 2007 with the third project steering committee meeting expected to be held at the margins of FFC67, Palau in May 2008. Project monitoring reports were received in year 1 and year 2; and a mid term review has also been completed. Project reports have been submitted on schedule and the accounts for the first two years have been fully audited.

The conservation and sustainable development of the region's tuna and related resources remain crucial on the region's agenda. At the Forum meeting in Tonga in November 2007, leaders re-affirmed their commitment to the development of domestic tuna industries in the Vava'u Declaration on Fisheries. There is thus an appropriate policy environment for the P-ACP countries to focus attention on the development of their commercial fishing, processing sectors and related activities. DEVFISH will continue to be a key instrument in this process over the coming months.

The major thrust of DevFish during the first three years of the project was on economic analysis to guide policy development. The project activities for the fourth AWP which runs from 1<sup>st</sup> July 2008 has been built upon those activities already implemented during the past three years but will focus more on progress with strategies for development, national development planning, policy development, information dissemination and improved opportunities for trade and investment, thus addressing key recommendations made in the MTR.

## **OVERALL OBJECTIVES**

The overall objective of the DEVFISH project is to increase the contribution from the sustainable use of marine resources to the alleviation of poverty in Pacific ACP States. It will contribute to this objective through a focus on the sustainable development of highly migratory oceanic living resources, particularly tuna fisheries.

## **PROJECT PURPOSE**

The DEVFISH purpose is to contribute to the establishment of a concerted policy and economic environment conducive to the further development of Pacific ACPs owned fishing and processing operations and to promote the capturing of greater domestic economic benefits from the activities of foreign fleets.

## **PROJECT RESULTS**

The Project is designed to support regional thinking and national action. The Regional Component supports analysis, consultation and training at the regional level on shared and common aspects of tuna development. The project planned results are:

### 1. The Regional Component

Result 1: Improved Information for policy making available and being used:

Result 2: New and improved strategies for fisheries development identified

Result 3: Opportunities for participation of the P-ACP private sector in tuna fisheries improved

Result 4: Conditions for regional and international trade in tuna products and investment in tuna production improved

### 2. The National Component

Result 5: National policies and national institutional arrangements for private sector tuna fishery development improved and better coordinated

### 3. The Coordination Component

Result 6: Project managed efficiently and coordinated, with results disseminated and applied.

## **PROJECT IMPLEMENTATION**

### **Organizational Structure**

The Contracting Authority is the Regional Authorising Officer (RAO). FFA and SPC are the two key implementing agencies. The Deputy Director of FFA remains the Project Supervisor. The FFA Fisheries Development Policy Specialist is the Team Leader. A Project Steering Committee (PSC) including representatives of participating Pacific ACP countries, FFA, SPC and the RAO meet annually at the margin of FFC meetings to provide technical and administrative oversight. The programme is implemented by means of a decentralised Contribution Agreement between the RAO and FFA and will remain under this arrangement until the closure of the project in 2009.

A subsidiary agreement between FFA and SPC was in place in 2005 committing each organization to implement project activities in accordance with the EDF regulations. A six monthly meeting by project staff from the two implementing agencies to coordinate the implementation and monitor project activities will continue to be organized. Five of these meetings have been held during the past three years with the most recent one held at the FFA Headquarters in Honiara on 31<sup>st</sup> March 2008. Within each organization project staff meet and consult each other regularly to discuss work plan activities and will continue to do so until the closure of the project.

## FINANCING AGREEMENT (NO. 9276/REG) COST ESTIMATE

A.	Technical Assistance	1,429,500
B.	Equipment	102,500
C.	Meetings & Travel	519,500
D.	Operating Costs	115,500
E.	In-country sub-projects	520,000
F.	Indirect Costs	116,000
G.	Audit	7,000
G.	Evaluation	60,000
H.	Contingencies	<u>130,000</u>
	<b>TOTAL</b>	<b><u>3,000,000</u></b>

### REPORTING

FFA is required to compile a 6 monthly and annual reports, incorporating reports from SPC, detailing activities against project milestones and indicators as described in the Logical Framework. The project coordinator has already submitted six reports – an inception report, three six monthly and two annual reports. A consolidated annual report and audit report for 2006/07 will be presented at the next PSC meeting in Palau 2008.

The PC will ensure that the activities are carried out in accordance with the Work Plan, Cost Estimate, and in accordance with the EDF procedures and Contribution Agreement.

## DRAFT BUDGET 2008/9

The draft cost estimate for the fourth year work programme is €757,410 broken down as follows:

Budget Line No.	Budget Line	2008/2009		TOTAL
		FFA	SPC	
1.	<b>TECHNICAL ASSISTANCE</b>			
	Long Term			
1.1	Fisheries Development Policy Adviser	140,00		140,000
1.2	Fisheries Development Officer		91,000	91,000
1.3	Project Coordinator	80,000		80,000
	Short Term			
1.4	Consultancies	<u>80,000</u>	<u>25,630</u>	<u>105,630</u>
	<i>Sub-Total</i>	<b>300,000</b>	<b>116,630</b>	<b>416,630</b>
1.	<b>EQUIPMENT</b>			
2.1	Equipment	4,290	2,210	6,500
2.2	Computer Support	<u>9,380</u>	<u>4,620</u>	<u>14,000</u>
	<i>Sub-Total</i>	<b>13,670</b>	<b>6,830</b>	<b>20,500</b>
3.	<b>MEETINGS &amp; TRAVEL</b>			
3.1	Regional Workshops	25,000		25,000
3.2	Travels P-ACP countries	37,800	32,200	70,000
3.4	Meetings Support	0,00		0,00
3.5	PSC Travel	<u>2,020</u>	<u>2,020</u>	<u>4,040</u>
	<i>Sub-Total</i>	<b>64,820</b>	<b>34,220</b>	<b>99,040</b>
4.	<b>OPERATIONAL COSTS</b>			
4.1	Information Dissemination		15,000	15,000
4.2	Office Support		6,567	19,900
4.3	Audit	13,333	<u>3,500</u>	<u>7,000</u>
	<i>Sub-Total</i>	<b>16,833</b>	<b>25,067</b>	<b>41,900</b>
	Total			
5.	<b>In-country programme support</b>	<b>97,071</b>	<b>52,269</b>	<b>149,340</b>
	Total Direct Operating Cost	<b>492,394</b>	<b>235,016</b>	<b>727,410</b>
6.	Indirect Costs	20,300	9,700	30,000
	<b>TOTAL</b>	<b>512,694</b>	<b>244,716</b>	<b>757,410</b>

## **DETAILED WORK PLAN**

### **ACTIVITIES 2008/9**

The activity schedule for the fourth AWP which starts from 1<sup>st</sup> July 2008 include those activities agreed to during the sixth FFA/SPC consultation meeting held in March 2008. The AWP will be further considered at the steering committee in May, and an addendum will be submitted to reflect any changes requested by stakeholders as well as the audited financial position after the current AWP is completed.

The Project is now moving into its final year of its four year period. Most of the 'information gathering' work has already been done or will be completed soon. Fair progress has been made with development planning and strategies, although more needs to be done with national development plans. The mid term review highlights the need to improve information flow from the project, as well as efforts to improve governance and awareness of policy issues. There may also be an opportunity to 'lay the foundations' for the second phase of the project.

The fourth AWP is devoted to addressing the policy and development planning area based on the information gathered, studies undertaken during the first, second and third AWPs and recommendations made in the mid term review report.

#### **Result 1 – Improved information for Policy Making**

The MTR report highlighted two most areas constraining project effectiveness concern communication and governance. The report further stated that despite considerable effort of project staff, only a portion of the important DevFish messages is getting through to the target audiences. The report recommended that a communications specialist formulate and implement a communications strategy.

It was agreed during the 6<sup>th</sup> consultation meeting held at the FFA Headquarters; Honiara on 31<sup>st</sup> March 2008 that SPC will take the lead in the development of a TOR for the engagement of a professional to develop a communication strategy. To commence this process, initial funding support will come from Information Dissemination budget allowance within the third AWP and later to be transferred to the fourth AWP.

The 6<sup>th</sup> consultation meeting also endorsed the need to raise efforts where appropriate to promote visibility. The MTR report will also be provided as information to the project steering committee meeting as much of the membership have already been consulted during the process.

The planned activities for 2008/2009 AWP include the following:

- i) Preparation and circulation of summaries of existing reports and reports being prepared under the current work programme:
  - a. Small scale fisheries (Alia & pumpboat reports);
  - b. The second Gender study, after final editing at the end of this AWP;
  - c. A case study on 'lessons learned' – now nearing completion;

- d. A case study on the economic benefits from small scale and sports fishing operations – already in progress but unlikely to be completed within the current AWP.
- ii) Statistics Meeting outcome follow-up - national meetings collaborating with National Statistics Offices and Central bank.

### **Result 2 – Strategies for fisheries Development Improved**

Improving the strategies for fisheries development involves an evaluation of the performances of different strategies for promoting tuna development, assessing the role of new approaches to fisheries management that will promote domestic development and identifying strengths and weaknesses of alternative fisheries development strategies. The two major studies during the third year of the project were on the longline access framework (LLF) and purse seine study (PSS). Both may require further work during the 4<sup>th</sup> AWP.

Further work on a sustainable tuna management and development strategy will be proposed at the next FFC as a part of FFA's work programme. This is in response to the Vava'u declaration. Kiribati and Samoa have indicated their interest in assistance with development planning for the coming year.

The following activities have been planned to be undertaken during the fourth AWP 2008/09:

- i) Sustainable Tuna Development Framework activities will include:
  - a. Further work on a sustainable tuna management and development strategy in response to the Vavau declaration;
  - b. Follow-up on the decisions on domestic development of purse seine and long line fisheries from the PNA and Tuna and Billfish sub-regional meetings;
  - c. Pursue further work on longline access framework and onshore processing strategy;
- ii) Document some of the lessons learned by DevFish which may of interest to other countries or donors. Possibilities include experience with fishing associations, how to establish an effective competent authority, potential for use of coconut oil as a fuel for the fishing industry (based on work in Kavieng partly supported by DevFish) and other relevant topics.
- iii) Continue with developing National Fishery Development Plans - Follow up with interests indicated by Kiribati and Samoa and/or work with other countries that may be interested.
- iv) Facilitate a 'final meeting' to present the results of the project. For reasons of cost and to ensure suitable high level of participation, this will be carried out as a workshop session during FFC in May 2009, with flexibility to invite extra participation by industry associations.

### **Result 3 – Private Sector Participation in tuna fisheries improved**

The following activities have been planned to be implemented in the final year:

- i) Assisting regional networking of Pacific Island private sector interest by working closely with the Pacific Islands Tuna Industry Association (PITIA);
- ii) Follow up on gender study with the following:
  - With registered interest from TEFA, facilitate a value adding/SYIB training workshop targeting women focused group with training undertaken by Tima/SYIB.
  - Facilitate a by-catch food preparation/SYIB workshop by a good food nutritionist (church women group) targeting one/two country (PNG/Fiji/SI/FSM/RMI) which is in line with recommendation from the Gender study report to enhance initiatives at grassroots level that could accomplish the “spin off benefits” potential of industrialized setups.
- iii) Alia/Pump Boat report follow-up on the report recommendations such as engine replacement, grants identification, undertaking bio-economic modeling (license limits, TAC, FAD policy implication), and national training (skippers, FAD programs/alternate fishing techniques, on board fishing handling).
- iv) NZ study tour (HOFs/industry) – in line with the PNA aspiration drive with focus on inspiring members of the NZ purse seine/onshore development experience.

#### **Result 4 – Trade and Investment in Tuna Products Improved**

A number of activities relating to promoting the application of improved seafood safety and quality policies and programme have been undertaken for Samoa, Fiji, PNG and Niue during the first, second and third AWP. Project staff have also continued to ensure that proper treatments of the fishery aspects of regional economic integration initiatives are being undertaken in other regional initiatives such as the PACREIP and SFP projects. There have been several meetings aimed at promoting the EU-Pacific ACP investment and business relations. DevFish project has done some work on issues related to regional economic integration in the fishing industry – most recently a proposal to PACREIP to look at market opportunities for canned tuna under the PICTA agreement. It has been suggested that a more comprehensive study on what REI (or could mean) in the fisheries sector may be worth pursuing. The following activities have been identified therefore as some of the activities to be implemented during the 2008/9 AWP:

- i) Regional study of laboratory services – an analysis of likely requirements for selected countries that are most likely to seek access to the EU market in the next 3-5 years;
- ii) Engaging suitable and qualified consultants to follow up on those recommendations relating to improving seafood safety and quality policies made during first, second and third AWP - PNG, Fiji, Niue and Samoa;
- iii) Engage suitable and qualified consultants to carry out an investment study of the region for the possibility for enhanced EU – ACP relations in investment and trade;

### **Result 5 – National Private Sector Development Improved**

A lot of work and support have been provided to the establishment of tuna fishing industry associations at the national level in the past three AWP. This includes – refurbishment of the association office, financial support to the executive officers to man the associations, development of a model constitution for associations use. In line with the MTR recommendations, the following activities have been identified during the fourth AWP 2008/09:

- i) Expand efforts and coverage on the Executive. Officer trial targeting mainly these countries as priorities;
  - a. Fiji – interest expressed for umbrella association;
  - b. Nauru – interest registered;
  - c. Vanuatu – interest registered;
  - d. PNG – inciting commencement through special project (Madang Marine Park) participation.
- ii) Sponsor three trial Ex. Officers to participate at the planned regional proposal write-up workshop – ForumSec/PIPSO,
- iii) FDO to initiate exit strategy by identifying and linking these associations to potential donor agencies and other regional agencies;

The project will also continue to respond to requests for appropriate in-country projects at the national level, within the constraints of the reduced funding available for the final AWP.

### **Result 6 – Project coordinated and results applied**


Project coordination and applying the results are crucial components of the project and are ongoing activities. However, the following are the key activities for the fourth year work plan:

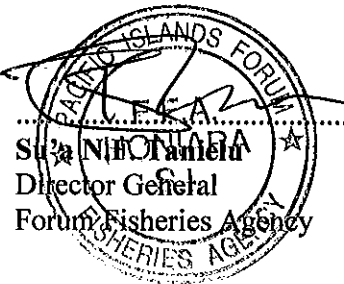
- i) Completion of the interim audit for the third year work programme funds and submission of the third annual report;
- ii) Regular up-date of DevFish website with regional reports printed and circulated;
- iii) Regular six monthly meeting between project staff from FFA and SPC to be held in Fiji and Honiara;
- iv) Completion of project final report and other closure activities.

**APPROVAL OF THE WORK PROGRAMME AND COST ESTIMATE**

The Work Plan and Cost Estimate is signed by the Organization, approved by the Regional Authorising Officer and endorsed by the EU Head of Delegation for the Pacific.


Signed by the Organization:


  
.....  
**Sua Ni Omani**  
Director General  
Forum Fisheries Agency



21/04/2008  
.....  
Date


Approved by:

  
.....  
Mr. Feleti P. Teo  
Deputy Regional Authorising Officer  
Pacific Islands Forum



6/05/08  
.....  
Date

Endorsed by:

  
.....  
**WIEPKE VAN DER GOOT**  
Head of Delegation

27/5/08  
.....  
Date

**Annex 1: Revised Logical framework – as submitted in the Financing Agreement Addendum**

<b>ANNEX 1: PROJECT LOGICAL FRAMEWORK – Objective and Purpose</b>			
<b>Intervention Logic</b>	<b>Objectively Verifiable Indicators</b>	<b>Sources of Verification</b>	<b>Assumptions</b>
<p>To increase the contribution from the sustainable use of marine resources to the alleviation of poverty in Pacific ACP Countries</p>	<p>Increase of 15% in the net value added contribution to GDP in constant prices across the region from tuna fisheries sectors by end of project.</p> <p>15% increase in employment in tuna fisheries and processing by end of project.</p> <p>15% increase in export value in constant prices across the region by end of project</p>	<p>End-of-Project Evaluation Report</p> <p>National accounts</p> <p>Central bank reports</p>	
<p><b>Overall Objective</b></p>			
<p>To contribute to the establishment of a concerted policy and economic environment conducive to the further development of Pacific ACP's owned fishing and processing operations and to promote the capturing of greater domestic economic benefits from the activities of foreign fleets.</p>	<p>Organisations representing tuna fishers and fishing industry report improved awareness of policy and economic constraints in at least four participating countries by the end of year 2, and eight countries by the end of the project.</p> <p>Organisations representing tuna fishers and fishing industry report significant improvements in the policy and economic environment, and increased business confidence in at least 7 of the participating countries by the end of project</p>	<p>National reports</p> <p>Regional reports (especially by FFA &amp; SPC)</p> <p>Project reports, including reports establishing benchmarks for the Purpose-level OVIs.</p> <p>Mid-term Review and End-of-Project Evaluation Reports</p>	<p>Quality of overall governance does not deter private investment in fisheries</p> <p>Private sector investment responds to improved fisheries policies</p> <p>General economic conditions remain favourable to tuna fishing</p> <p>Abundance and distribution of tuna resources remains favourable to commercial fishing in participating countries</p>
<p><b>Project Purpose</b></p>			

**ANNEX 1: PROJECT LOGICAL FRAMEWORK -- Results**

**Regional Component**

Result 1: Improved information for Policy Making Available and Used

Information provided to countries on comparative performance of similar industries or alternative models in other countries. Evidence that information is being used to improve performance (policy statements, tuna management plans, changes to national budgets, board statements).

Result 2: New and Improved Strategies for Fisheries Development Identified

Assessments available of effectiveness of existing strategies for tuna development, and possible new approaches

Result 3: Opportunities for participation of the P- ACP private sector in tuna fisheries Improved

Endorsement of proposals for policy improvement to promote private sector development in at least one regional or sub-regional fisheries body/ agreement. Institutional changes providing greater private sector participation in decisions and policies. Strengthened networking of private sector interests in tuna fisheries

Result 4: Conditions for Regional and International Trade in Tuna Products and Investment in Tuna Production Improved

Evidence that conditions and opportunities for women in fishing industry operations are improving. Seafood safety and quality policies, programmes and practices in place to maintain and improve access to EU and other markets. Regional economic integration activities consider fisheries priorities and create beneficial conditions for domestic fisheries development.

**National Component**

Result 5: National Policies And Institutional Arrangements For Private Sector Tuna Fishery Development Improved and Better Coordinated

Enhanced P-ACP/EU trade, investment and business relations in fisheries, particularly tuna. Improved national fisheries governance, and fisheries policies and programmes in areas relevant to private sector development in which participating governments and/ or private sector participants have requested assistance

**Coordination Component**

Result 6: Project efficiently administered and project results widely distributed and applied.

Satisfactory project reports, work programmes and audited accounts.

- Information on project results:
  - widely disseminated multiple times in a range of formats appropriate to identified stakeholder groups
  - evidence that information is being used

(Note: the Sources of Verification listed below apply to all of the Results)

**National Reports**

Regional Reports, including reports by FFA & SPC

FFA and SPC websites

Project documents, including technical reports, workshop reports, progress reports, Mid-Term Review and End-of-Project Evaluation

Information from Forum Secretariat on Regional Economic Integration initiatives

Reports of regional activities (workshops, consultations etc) involving Pacific ACP private sector interests and EU-Pacific ACP dialogue on fisheries

Feedback from participating governments and private sector interests

Necessary support is available from other relevant regional programmes

Governments make use of outputs of regional and national components of the Project

Participating Governments and private sector interests cooperate in making information available

Effective coordination with broader Regional Economic Integration activities

Support available from other ACP-EU instruments

**ANNEX 1: PROJECT LOGICAL FRAMEWORK – Activities**

Activities	Means (Project- Financed)	1.1 Costs (Project-Financed)	Assumptions
2 <i>Regional Component</i>	2.1	Note: all Project components will be substantially supported by means provided by FFA and SPC through existing programmes, and by national fisheries administrations	Funding of complementary FFA and SPC activities supporting the Project activities is secure
<b><u>Result 1: Information for Policy Making</u></b>			
Activity 1.1: Measure Tuna Fishery Economic Performance			
Activity 1.2: Analyse the economics of different forms of tuna fishing and processing ventures			
Activity 1.3: Analyse changes affecting economic viability of tuna operations			
<b><u>Result 2: Strategies for Fisheries Development</u></b>			
Activity 2.1: Evaluate the performance of different strategies for promoting tuna development			
Activity 2.2: assess the role for new approaches to fisheries management that will promote domestic development			
Activity 2.3: identify strengths and weaknesses of alternative fisheries development strategies			
<b><u>Result 3: Private Sector Participation</u></b>			
Activity 3.1: identify areas for improving policies which promote P-ACP private sector participation in tuna			
Activity 3.2: assist regional networking of Pacific Island private sector interests in tuna fisheries		€997,510	
Activity 3.3: promote gender equality in participation in Pacific Islands private sector tuna development:		€365,770	
<b><u>Result 4: Trade and Investment</u></b>			
Activity 4.1: promote the application of improved seafood safety and quality policies, programmes and practices		€199,860	
Activity 4.2: ensure proper treatment of fisheries aspects of regional economic integration initiatives		€19,330	
Activity 4.3: promote EU-Pacific ACP trade, investment and business relations in fisheries, particularly tuna			
i) Two long term experts & travel			Sub-Total €1,582,470
ii) short term national, regional and international consultants			
iii) regional workshops			

**National Component**

**Result 5: National Private Sector Development**

Activity 5.1: strengthen national consultative processes and national representation of private sector interests involved in tuna

Activity 5.2: prepare National Action Plans for Promoting Private Sector Tuna Development

**Coordination Component**

**Result 6: Wider application of project results**

Activity 6.1 Plan Project activities effectively and implement within budget.

Activity 6.2 Submit necessary reports, work programmes and audited accounts on a timely basis.

Activity 6.3 Hold necessary coordination and steering committee meetings

Activity 6.4 Disseminate information through a web page and circulation of reports, with emphasis on the private sector and follow-up on project outcomes

**National Component**

- i) national, regional and international consultancies
- ii) workshops and consultations
- iii) start up assistance for national consultative and representative bodies

Sub-Total  
**€572,000**

**Coordination Component**

Project Coordinator & travel

PSC Meetings

Equipment

Info Dissemination

Computer/Office support/audit

Indirect costs/Review/Contingency

€338,420

€11,780

€6,440

€34,610

€154,280

Sub-Total  
**€545,530**

**€300,000**

**TOTAL**  
**€3,000,000**